

8-17-01

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08/15/01

UTILITY PATENT APPLICATION TRANSMITTAL

((Only for new non-provisional applications under 37CFR 1.53 (b))

Attorney Docket No.	24534-080000
Total Pages	41
First Named Inventor	Braun et al.
Express Mail Label No.	EL368262878US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

 Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, DC 20231

1. ☒ Fee Transmittal Form [Total Pages 1 - in dup.]
 (Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 26]
 (preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Pages 9]

- ☐ Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 17 completed)
 [Note Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 CFR 1.63 (d) (2) and 1.33 (b).

- ☐ Incorporation by Reference (useable if Box 4b is checked)
 The entire disclosure of the prior application, from which a
 copy of the oath or declaration is supplied under Box 4b,
 is considered as being part of the disclosure of the
 accompanying application and is hereby incorporated by
 reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
 if applicable, all necessary)

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73 (b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure (4 pp.) Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment (12 pp.)
13. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
16. ☒ Other: Cover Sheet (1 pp.) Cert. of Express Mail (1 pp.)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

18. CORRESPONDENCE ADDRESS

☐ Customer number or bar code label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME

Stephen T. Scherrer

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COUNTRY

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box PATENT APPLICATION / Assistant Commissioner for Patents / Washington, D.C. 20231 on this date: August 15, 2001 (Express Mail Label No. Above)

Typed or printed name

Stephen T. Scherrer

Signature

Stephen T. Scherrer

Date

August 15, 2001

C997 U.S. PTO

09/930913

08/15/01

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Braun et al.

Title

System and Method for Evaluating...

Atty Docket Number

24534-080000

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 15, 2001

Date


Signature

Stephen T. Scherrer

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

FORM PTO/SB/35

EL368262878US

In re Appln. of Braun et al.

New Patent Application

"System and Method for Evaluating Real Estate Financing Structures"

Docket No. 24534-080000

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EL368262878US

Date of Deposit: August 15, 2001


I hereby certify that the following documents:

1. Certificate of Express Mailing (1 pp.);
2. Fee Transmittal (1 pp. - in dup.);
3. Utility Patent Application Transmittal (1 pp.);
4. Patent Cover Sheet (1 pp.);
5. Specification (description, claims and abstract) (26 pp.)
6. Drawings (Figs. 1-6) (9 Sheets);
7. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) (1 pp.) and
8. Return Postcard.

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box New Patent Application, Washington, D.C. 20231.

Stephen T. Scherrer

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

FEE TRANSMITTAL

*Note: Effective November 10, 1998
Patent fees are subject to annual revision*

TOTAL AMOUNT OF PAYMENT \$710.00

Complete if Known

Appln. Number	unassigned
Filing Date	August 15, 2001
First Named Inventor	Braun et al.
Title	System and Method for Evaluating Real Estate...
Group Art Unit	unassigned
Examiner Name	unassigned
Attorney Docket No.	24534-080000

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account

Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money ☐ Other Order

FEE CALCULATION

1 FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL 710

2 CLAIMS

	Highest No. Paid For	Extra	Fee from below	Fee Paid
Total Claims	20	-20 = 0	18	0
Independent Claims	2	-3 = 0	80	0
Multiple Dependent Claims				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claims
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design Issue fee	
144	600	244	300	Plant Issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)				3 soft copies of issued patent (\$3.00 each)	
Other fee (specify)					

Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

SUBMITTED BY

Typed or Printed Name Stephen T. Scherrer

Signature

Stephen T. Scherrer

Date

August 15, 2001

Complete (if applicable)

Reg. Number 45,080

Deposit Account 13-0206